

Risk Register - Key Risks by Committee

<u>Risk</u>	<u>P</u>	<u>I</u>	<u>Score</u>	<u>Rating</u>	<u>Existing Controls</u>	<u>Further Controls</u>	<u>Lead for Controls</u>
Reviewing Committee: Audit Key Risks							

P = Probability /Likelihood I = Impact

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1. Strategic													
7 Delegation risk: • Trustees have too much control • Reliance on too few people or person to carry out tasks	3	3	9	Medium	• Trust committees in place • Senior management have clear roles and responsibilities • Reporting systems in place • Scheme of delegation, reviewed annually	• discussions on succession planning for retirement of several central team members 5 years in advance.	CEO, Trustees						
<u>Risk Reviews in last 12 months:</u> Archived ☐				<table><tr><th><u>Date</u></th><th><u>Comments</u></th><th></th></tr><tr><td>05/02/2026</td><td>Awareness raised regarding likely timescales of 5 years for retirement of several key staff in central team. Agreed that work on succession planning should commence in plenty of time.</td><td></td></tr></table>				<u>Date</u>	<u>Comments</u>		05/02/2026	Awareness raised regarding likely timescales of 5 years for retirement of several key staff in central team. Agreed that work on succession planning should commence in plenty of time.	
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2. Operational							
24 ICT infrastructure risk • ICT security risk • Risk of corruption or loss of data • Risk ICT equipment and services are outdated and no longer fit for purpose	4	4	16	High	• External ICT support through LEAMIS ensures ICT technicians are on-call every working day • External backup of all files held on school/Trust servers • Regular review of equipment	• All schools have cyber insurance • Regular training • Servers being put onto time clocks so they are shut down from 8pm to 6am	CEO, Trustees
<u>Risk Reviews in last 12 months:</u> Archived ☐					<u>Date</u> 11/06/2026	<u>Comments</u> ICT Support secured for the next 12 months via ICTIC contract, pending full tender process. ICTIC to be asked to provide a report on our cyber security risk early in the contract	
29 Loss of data or loss of access to data on computer network as a result of cyber crime	3	5	15	High	• Servers protected by "air-brick" technology • Firewalls and antivirus in place and kept up to date • All staff aware of the risk of cyber attack through email and know to be wary of clicking links/opening attachments. • Daily remote back-up in operation	• Regular training/reminders to staff regarding cyber security alerts • Steps to be taken to protect locally created information systems against the risk of accidental deletion of content.	CEO, CFO
<u>Risk Reviews in last 12 months:</u> Archived ☐					<u>Date</u> 09/10/2025 11/06/2026	<u>Comments</u> Risk rating remains high, but noted Cyber Insurance is now in place across the Trust. ICT Support secured for the next 12 months via ICTIC contract, pending full tender process. ICTIC to be asked to provide a report on our cyber security risk early in the contract	
9 System Maintenance Risk • Systems not maintained by provider	2	4	8	Medium	• Provider kept under review and discussed on annual basis with finance staff		CEO, CFO
<u>Risk Reviews in last 12 months:</u> Archived ☐					<u>Date</u> 14/05/2026	<u>Comments</u> No change, but is to be revisited within the next 3 months in connection with arrangements for ICT Technicians	

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3. Compliance								
25	GDPR compliance • Risk of a data breach resulting in a fine / ICO investigation • Security risk • Risk of corruption or loss of data • Workload from a potential SAR (Subject Access Request)	3	4	12	Medium	• DPO employed by the Trust, with appropriate training • Trust structure, training for all staff • Paperwork in place • Trust subscription to GDPRiS	ICT techs working on implementing automatic email deletion in line with our retention schedule. All schools requesting quotes for cyber insurance.	CEO, Trustees
Risk Reviews in last 12 months:								
Archived ☐								
				<u>Date</u>	<u>Comments</u>			
				19/03/2026	No change to risk rating, but noted the need to look at DPO arrangements following recent audit			